

10 Rec'd PCT/PTO 05 MAR 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Collberg

Serial No.: 09/719,399

I.A. Filing Date: June 10, 1999

For: SOFTWARE WATERMARKING TECHNIQUES

Date: February 28, 2001 #3

Group Art Unit: Unassigned

Examiner: Unassigned



Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

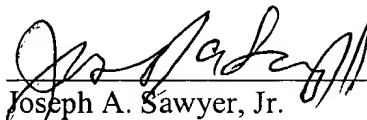
**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER  
35 USC 371 IN THE U.S. DESIGNATED/ELECTED OFFICE DO/EO/US)**

Sir:

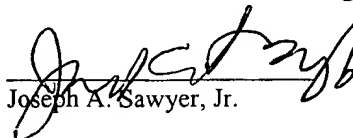
In response to the Notification of Missing Requirements issued February 9, 2001, submitted herewith is a Declaration executed by the inventors.

The Commissioner is hereby authorized to charge any fees or credit any overpayment associated with this communication to Deposit Account 02-2120 (Sawyer Law Group LLP). If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below.

Respectfully submitted,

  
Joseph A. Sawyer, Jr.  
Attorney for Applicants  
Reg. No. 30,801  
(650) 493-4540

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, on February 28, 2001.

  
Joseph A. Sawyer, Jr.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TRANSMITTAL LETTER

Sir:

In response to the Notification of Missing Requirements issued February 9, 2001, please find enclosed the following:

- 1) Copy of Notification of Missing Requirements issued February 9, 2001
- 2) Declaration by Inventors
- 3) Assignment and Recordation Cover Sheet
- 4) Transmittal Letter (original and two copies)
- 5) Postcard

The Commissioner is hereby authorized to charge any fees or credit any overpayment associated with this communication to Deposit Account 02-2120 (Sawyer Law Group LLP).

Respectfully submitted,

Joseph A. Sawyer, Jr.  
Attorney for Applicants  
Reg. No. 30,801  
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Joseph A. Sawyer, Jr.

FEB 16 2001



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
 Address: ASSISTANT COMMISSIONER FOR PATENTS  
 Box PCT  
 Washington, D.C. 20231

Baldwin  
 1968NP  
 JAS

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/719399	COLLBERG	C 1968NP/C5033

JOSEPH A SAWYER  
 SAWYER LAW GROUP  
 PO BOX 51418  
 PALO ALTO, CA 94303

INTERNATIONAL APPLICATION NO.	
PCT/NZ99/00081	
I.A. FILING DATE	PRIORITY DATE
10 JUN 99	10 JUN 98
DATE MAILED:	

09 FEB 2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

- The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as:
  - ☐ a Designated Office (37 CFR 1.494),
  - ☒ an Elected Office (37 CFR 1.495):
    - ☒ U.S. Basic National Fee.
    - ☒ Copy of the international application in:
      - ☐ a non-English language.
      - ☒ English.
    - ☐ Translation of the international application into English.
    - ☒ Oath or Declaration of inventors(s) for DO/EO/US.
    - ☐ Copy of Article 19 amendments.
    - ☐ Translation of Article 19 amendments into English.
    - ☒ The International Preliminary Examination Report in English and its Annexes, if any.
    - ☐ Translation of Annexes to the International Preliminary Examination Report into English.
    - ☒ Preliminary amendment(s) filed December 08, 2000 and \_\_\_\_\_
    - ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_
    - ☐ Assignment document.
    - ☒ Power of Attorney and/or Change of Address.
    - ☐ Substitute specification filed \_\_\_\_\_
    - ☐ Verified Statement Claiming Small Entity Status.
    - ☒ Priority Document.
    - ☒ Copy of the International Search Report ☐ and copies of the references cited therein.
    - ☐ Other:
- The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
  - ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
    - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
  - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
  - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
    - ☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
  - ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
- Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
- ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed:

☒ PCT/DO/EO/917 ☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Barbara A. Campbell

Telephone: 703-305-3631